



17698 U.S. PTO

**NEW UTILITY PATENT
APPLICATION TRANSMITTAL**

Attorney Docket No. :

First Named Inventor: **Ernest Heinz**Total Pages in this submission: **18****APPLICATION ELEMENTS**

1. ☒ Fee Transmittal Form (1)
2. Specification (10)
 - ☒ Title of the Invention
 - ☐ Cross References to Related Appns.
 - ☐ Statement Regarding Federally Sponsored R&D
 - ☐ Reference to Microfiche Appendix
 - ☒ Background of the Invention
 - ☒ Brief Summary of the Invention
 - ☒ Brief Description of the Drawings
 - ☒ Detailed Description
 - ☒ Claims
 - ☒ Abstract of the Disclosure
3. ☒ Drawings (2)
4. ☒ Executed Declaration (1)
5. Genetic Sequence Submission
 - ☐ Paper Copy
 - ☐ Computer Readable Copy
 - ☐ Statement Verifying Identical Paper and Computer Readable Copy

ACCOMPANYING APPLICATION PARTS

6. ☐ Assignment Papers
7. ☐ Certified Copy of Priority Documents
8. ☐ Computer Program in Microfiche
9. ☐ English Translation Document
10. ☐ Information Disclosure ☐ Copies of Statement IDS Citations
11. ☐ Petition Checklist & Petition
12. ☐ Preliminary Amendment
13. ☐ Proprietary Information
14. ☒ Return Receipt Postcard (1)
15. ☒ Small Entity Statement (1)
16. ☒ Additional Disclosures
 - Express Mail Certificate (1)
 - Check for \$385 (1)

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or **Joseph E. Funk**

Individual

Signature

Date

February 4, 2004

FOR OFFICIAL USE ONLY

Application No.	Class	Independent Claims
Date of Receipt	Appn. Type	Total Claims
	Filing Date	Drawing Sheets
	Small Entity	Special Handling?
	GAU	
	For. Filing License?	
	Foreign Address?	

16834 U.S. PTO
10/771531

17698 U.S. PTO
020404

PTO/SB/17 (10-96)

FEE TRANSMITTAL

Total Amount of Payment \$ 385

METHOD OF PAYMENT (Check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:
Deposit Acct. No.
Deposit Acct. Name.
☐ Charge any additional fee ☐ Charge the Issue Fee required under 37CFR 1.16 and 1.17 set in 37CFR1.311(b)

2. ☒ Payment Enclosed
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION (fees effective 10/1/96)

1. FILING FEE

Large Entity	Small Entity				
Fee	Fee	Fee	Fee	Description	Fee Paid
Code	(\$)	Code	(\$)		
101	770	201	370	Utility file fee	\$ 385
106	320	206	160	Design file fee	\$
107	530	207	265	Plant file fee	\$
108	770	208	385	Reissue file fee	\$
114	150	214	75	Prov. file fee	\$

SUBTOTAL (1) \$ 385

2. CLAIMS

	Extra	below	Fee Paid
Total claims [18] - 20 =	[0]	X [] =	\$
Indep. claim [4] - 3 =	[1]	X [43] =	\$
Multiple Dep. Claims	[]	X [] =	\$

Large Entity	Small Entity				
Fee	Fee	Fee	Fee	Description	
Code	(\$)	Code	(\$)		
103	22	203	11	Claims in excess of 20	
102	80	202	43	Ind. claims - excess of 3	
104	260	204	130	Multiple dep. claims	
109	80	209	40	Reissue ind. claims over original patent	
110	22	210	11	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) \$

Submitted By:

Typed or:

Joseph E. Funk

Printed Name:

Signature:

Joseph E. Funk

Date: 2/4/04

Complete If Known

Application No.

Filing Date

First Named Inventor **Ernest Heinz**

Group Art Unit

Examiner Name

Atty. Docket No.

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity				
Fee	Fee	Fee	Fee	Fee Description	Fee Paid
Code	(\$)	Code	(\$)		
105	130	205	65	Surcharge - late filing fee or oath	\$
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	130	Non-English specification	\$
147	2460	147	2460	Filing a request for re-exam	\$
112	900	112	900	Requesting publication of SIR prior to Examiner action	\$
113	1790	113	1790	Requesting publication of SIR after Examiner action	\$
115	110	215	55	Ext. for response in 1st month	\$
116	390	216	195	Ext. for response in 2nd month	\$
117	930	217	465	Ext. for response in 3rd month	\$
118	1470	218	735	Ext. for response in 4th month	\$
119	300	219	150	Notice of Appeal	\$
120	300	220	150	Filing brief supporting appeal	\$
121	260	221	130	Request for oral hearing	\$
138	1470	138	1470	Petition to institute public use proceeding	\$
140	110	240	55	Petition to Revive - Unavoidably abandoned application	\$
141	1290	241	645	Petition To Revive Unintentionally abandoned application	\$
142	1290	242	645	Utility Issue Fee (or Reissue)	\$
143	440	243	220	Design Issue Fee	\$
144	650	244	325	Plant Issue Fee	\$
122	130	122	130	Petitions to Commissioner	\$
123	50	123	50	Petitions for provisional appns.	\$
126	230	126	230	Submission of Inf. Disc. Sheet	\$
581	40	581	40	Recording each patent assignment per property (X number of prop.)	\$
146	770	246	385	Filing a submission after final rejection (37 CFR 1.129(a))	\$
149	770	249	385	For each added invention to be examined (37CFR1.129(b))	\$
Other Fee (specify):					\$
Other Fee (specify):					\$
Other Fee (specify):					\$
SUBTOTAL (3)					\$

Complete (If Applicable)

Reg. Number: **25,974**

Deposit Acct.:

User ID

Certificate under 37 CFR 1.10 of Mailing by "Express Mail"

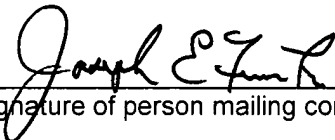
ER 713483703 US

"Express Mail" Label Number

February 4, 2004

Date of Deposit

I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



Signature of person mailing correspondence

Joseph E. Funk

Typed or printed name of person mailing correspondence

Note: Each paper must have its own certificate of mailing by "Express Mail".

Title: Disposable Plate System

Inventor: Ernest Heinz

Application w/ 2 sheets drawings (12 sheets total)

Declaration and Power of Attorney

Verified Statement Claiming Small Entity Status (PTO/SB/09)

Check for \$385

Return Postcard

New Utility Patent Appn Transmittal (PTO/SB/05)

This Express Certificate of Mailing (PTO/SB/93)

**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(b)) – INDEPENDENT INVENTOR**

Docket Number (Optional)

Applicant or Patentee: Ernest Heinz
Application for Patent No.: _____
Filed or Issued: _____
Title: **Disposable Plate System** _____

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.99 (c) for purposes of paying reduced fees to the Patent and Trademark Office described in:

- ☐ the specification file herewith with title as listed above.
- ☒ the application identified above.
- ☐ the patent identified above.

I have not assigned, granted, conveyed, or licensed, and am under no obligation under contract or law to assign, granted, convey, or license, any rights in the invention to any person who would not qualify as an independent inventor under CFR 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under CFR 1.9 (d), or a non-profit organization under CFR 1.9(e).

Each person, concern, or organization to which I have assigned, granted, conveyed, or licensed, or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

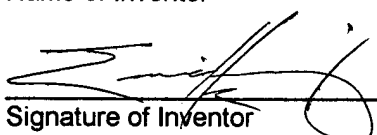
- ☒ No such person, concern, or organization exists.
- ☐ Each such person, concern, or organization is listed below.

Separate verified statements are required for each named person, concerned, or organization having writes to the invention averring to their status as small entities. (37 CFR 1.27)

I acknowledge to duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fees due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Ernest Heinz
Name of Inventor


Signature of Inventor

Date: January 31, 2004 _____